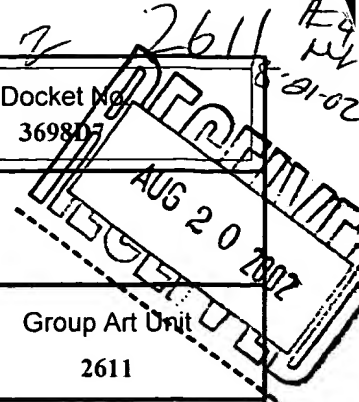


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
369805

In Re Application Of: **John Hendricks**



Serial No.	Filing Date	Examiner	Group Art Unit
09/964,891	September 28, 2001	N/A	2611

Title: **Set Top Terminal for Generating an Interactive Electronic Program Guide for use with Television Delivery System**

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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**AUG 19 2002**  
Technology Center 2600

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3698D7

Re Application: John Hendricks

Serial No.  
09/964,891

Filing Date  
September 28, 2001

Examiner  
N/A

Group Art Unit  
2611

Set Top Terminal for Generating an Interactive Electronic Program Guide for use with Television

System

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AUG 19 2002

**Payment of Fee**

Technology Center 2600

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Dated: August 15, 2002

Sung Kim

Reg. No. 45,398

DORSEY & WHITNEY LLP

1660 International Drive

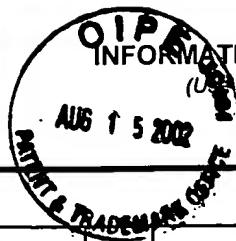
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## INFORMATION DISCLOSURE CITATION

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ATTY DOCKET NO.  
3698D7SERIAL NO.  
09/964,891

John Hendricks

FILING  
September 28, 2001GROUP  
2611

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,416,508	5/16/1995	Kazushi Sakuma, et al.	725	139	RECEIVED AUG 10 2002 Technology Center 2600
	2.	5,353,121	10/4/1994	Patrick Young, et al.	725	52	
	3.	5,404,393	4/4/1995	Roger Remillard	379	93.25	
	4.	5,223,924	6/29/1993	Hugo Stubbe	725	46	
	5.	5,479,268	12/26/1995	Patrick Young, et al.	386	83	
	6.	5,561,708	10/1/1996	Roger Remillard	379	93.19	
	7.	5,561,709	10/1/1996	Roger Remillard	379	93.19	
	8.	5,663,757	9/2/1997	Fernando Morales	725	5	
	9.	5,990,927	11/23/1999	John Hendricks, et al.	725	132	
	10.	5,477,262	12/19/1995	Robert Baker, et al.	725	38	
	11.	5,850,218	12/15/1998	Mike LaJoie, et al.	725	45	

## FOREIGN PATENT DOCUMENTS

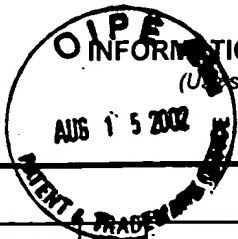
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1.	WO 97/13368	4/10/1997	WIPO				
	2.	WO 94/14282	6/23/1994	WIPO				
	3.	WO 94/13107	6/9/1994	WIPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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3698D7SERIAL NO.  
09/964,891

John Hendricks

FILING  
September 28, 2001GROUP  
2611**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12.	5,212,553	5/18/1993	Kazuhisa Maruoka	725	68	RECEIVED AUG 19 2002 Technology Center 2600
	13.	5,479,266	12/26/1995	Patrick Young, et al.	386	83	
	14.	6,025,837	2/15/2000	Joseph Matthews III, et al.	345	721	
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	16.	5,808,608	9/15/1998	Patrick Young, et al.	725	139	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


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